

GOINVEST

SEC Registered Investment Advisor
CRD # 291884

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Code of Ethics
March 13, 2020

Rule 204A-1 of The Investment Advisers Act of 1940 requires RIAs to have an established code of ethics. This is Go Invest's code of ethics and all covered persons with Go Invest agree to follow this code.

Item 1 – Standard of Business Conduct

Go Invest covered persons are familiar with and comply with their fiduciary responsibility to always act in the best interest of the client. All Go Invest covered persons conduct their business dealings in an ethical manor and abide by all securities laws.

In the event of a conflict of interest, Go Invest covered persons will disclose, in full, all the facts regarding the conflict of interest. The conflict of interest will be disclosed in writing and verbally to the client as soon as feasible.

Item 2 – Federal Securities Laws

All covered persons of Go Invest agree to follow all securities laws by the letter and by the spirit of the law. Go invest covered persons may not defraud, mislead, manipulate, or otherwise harm a client in any way. They may not favor the interests of one client over another client for any reason.

Item 3 – Securities Transactions and Holdings

All Go Invest covered persons must report their personal securities transactions and holdings to Go Invest, including those transactions of related persons that may benefit the covered person. Go Invest will review these transactions and holdings on a regular basis, at least quarterly.

Go Invest covered persons may not participate in restricted transactions. If a covered person has access to non-public information regarding a security, they may not participate in certain transactions. If a black-out period exists for participation in a transaction the covered person must wait for the black-out period to expire.

Item 4 – Prompt Reporting of Code Violations

Go Invest covered persons must immediately report any violations of securities laws or this code to a Go Invest compliance officer. If a violation is suspected, this suspicion must also be immediately reported. Any violations of law may result in warnings, fines, or termination of employment, and will be reported to the relevant government or regulatory authority.

Item 5 – Receipt of This Code

All Go Invest covered persons will be provided with a copy of this code and must sign a document indicating that they have received, read, and agree to abide by this code of conduct including any future amendments that will also be provided.